Form PTO-1449 Sheet 1 of 2

Applicant: Tsutomu Kito et al. Confirmation No.: 5579
Serial No.: 10/584,820 Att'y Docket No.: 14321.86

Art Unit: 2874

For: OPTICAL FUNCTIONAL CIRCUIT

June 27, 2006

Filing Date:

INFORMATION DISCLOSURE CITATIONS BY APPLICANT

U.S. PATENT DOCUMENTS

Examiner Document Issue/Publication

Initial* Number Date Name

FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u> *	Document Number	Publication <u>Date</u>	Country or Patent Office	<u>Translation</u>
1	60-202553	10/14/1985	Japan	No
2	04-316005	11/06/1992	Japan	No
3	05-011135	01/19/1993	Japan	No
4	08-190028	07/23/1996	Japan	No
5	08-313744	11/29/1996	Japan	No
6	10-186184	07/14/1998	Japan	No
7	10-332966	12/18/1998	Japan	No
8	2002-031731	01/31/2002	Japan	No
V 9	2004-046021	02/12/2004	Japan	No
10	WO 2004/059354 A1	07/15/2004	PCT	No

NON PATENT LITERATURE DOCUMENTS

(including author, title, pertinent pages, etc.)

Examiner Initial*

Yoshinori Hibino, *Passive Optical Devices for Photonic Networks*, IEICE Trans. Commun., Vol. E83-B, No. 10, October 2000., pp. 2178-2190.

Examiner
Signature:

Date Considered: 08/03/2008

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 Sheet 2 of 2

Applicant: Tsutomu Kito et al. Confirmation No.: 5579
Serial No.: 10/584,820 Att'y Docket No.: 14321.86

Art Unit: 2874

For: OPTICAL FUNCTIONAL CIRCUIT

Yabu Tetsuro et al., New Design Method for Low Loss Y-branch Waveguides, The Institute of

pp. 65-72.

June 27, 2006

Filing Date:

References Cited by Applicants

Electronics, Information and Communication Engineers, Vol. 100, No. 68, May 12, 2000,

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

W:\14321\86\DFW0000026191V001.doc

Examiner Signature:

Date Considered:

08/03/2008

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.